

**PATENT** 

Docket No.: <u>UC2000-138-2</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

ANUJAN VARMA; CHRISTOS TRYFONAS

Serial No.:

09/741,747

Filed:

**DECEMBER 19, 2000** 

For:

METHOD FOR DETERMINING LOSS RATE OR A LOSS CURVE OF A TRAFFIC

SOURCE

Group:

Examiner:

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner or Patents, Washington, D.C. 20231 on:

Date of Signature

**BOX MISSING PARTS Assistant Commissioner for Patents** Washington, D.C. 20231

## SUBMISSION OF MISSING PARTS OF APPLICATION UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed February 26, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

- 1. Combined Declaration and Power of Attorney;
- 2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
- 3. Assignee Certification Under 37 C.F.R. 3.73(b);
- 4. Information Disclosure Statement;
- PTO Form 1449; 5.

- 6. Copies of Citations Listed on PTO Form 1449;
- 7. Check in the amount of \$420 (\$355 filing fees and \$65 surcharge for the fees due as a small entity);
- 8. Assignment Cover Letter;
- 9. Assignment;
- 10. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

|                              |               |            |       | CLAIMS A     | S FILED    |       |          | •    |      |                        |
|------------------------------|---------------|------------|-------|--------------|------------|-------|----------|------|------|------------------------|
|                              | Num           | ber filed  | t     | Num          | ber Extra  |       | Rate     |      |      | Basic Fee<br>\$ 710.00 |
| Total                        | 24.40( )      |            |       |              |            |       |          |      |      | <u> </u>               |
| Claims 37 CFF                | (1.16(c)      | 6_         | - 20  |              | 0          | _X_   | \$18.00  | =    |      |                        |
| Independent<br>Claims (37 CF | R 1.16(b))    | 3          | - 3   | =            | 0          | X     | \$80.00  | =    |      |                        |
| Multiple depen               |               |            |       |              |            |       | <b>V</b> | ·    |      |                        |
| if any (37 CFR               | 1.16(d))      |            |       |              |            | +     | \$270.00 | =    |      |                        |
|                              |               |            |       |              |            |       |          |      |      | · · · · · ·            |
|                              |               |            | Fili  | ng Fee Ca    | alculation |       |          |      | \$   | 710.00                 |
|                              |               |            | Su    | rcharge      |            |       |          |      | \$   | 130.00                 |
|                              |               |            | то    | TAL FILIN    | NG FEE     |       |          |      | \$   | 840.00                 |
| Small                        | Entity Statem | ent(s)     |       |              |            |       |          |      |      | <del>- '''</del>       |
| <u> X</u>                    | Applicant qua | alifies as | a sma | II entity un | der 37 Cl  | R 1.9 | and 1.27 |      |      |                        |
|                              | Filing Fee C  |            |       | •            |            |       |          |      | \$   | 420.00                 |
|                              | Amount aire   |            | •     |              | •          |       |          | LESS | (\$  | . )                    |
| •                            |               |            |       |              |            |       |          |      | \Ψ_  | /                      |
|                              | TOTAL FILI    |            |       |              |            |       |          |      | \$ _ | 420.00                 |
| <u>X</u>                     | Assignment    | recordin   | g fee |              |            |       |          |      | \$_  | 40.00                  |
|                              | Petition fee  |            |       |              |            |       |          |      | \$_  | 0                      |
|                              |               |            |       |              |            |       |          |      |      |                        |
| TOTAL                        | FEES DUE      |            |       |              |            |       |          |      | \$ _ | 460.00                 |

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Respectfully submitted,

John P. O'Banion, Reg. No. 33,201 O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814

(916) 498-1010



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WVW.USPIO.GOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/741,747

12/18/2000

Anujan Varma

UC2000-138-2

**CONFIRMATION NO. 5584** 

FORMALITIES LETTER

\*OC000000005798531\*

John P. O'Banion O'BANION & RITCHEY LLP Suite 1550 400 Capitol Mall Sacramento, CA 95814

Date Mailed: 02/26/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

C3/29/2001 EABUBAK1 00000003 09741747

01 FC:201 OF FC:205 355.00 OP

# A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

| TERMITTA ART                           |   |                                     |                            |
|--|---|-------------------------------------|----------------------------|
| CERTIFICATE OF In Applicant(s): VARMA, | Docket No.<br>UC2000-138-2                                |                                     |                            |
| Serial No.<br>09/741,747               | Filing Date DECEMBER 19, 2000                             | Examiner                            | Group Art Unit             |
| vention: METHOD F                      | OR DETERMINING LOSS RAT                                   | E OR A LOSS CURVE OF A              | TRAFFIC SOURCE             |
| PADEMARKO                              |   |                                     |                            |
| I hereby certify that thi              | s NOTICE TO FILE MISSING th the United States Postal Serv | (Identify type of correspondence)   | envelone addressed to: The |
|  | er for Patents, Washington, D.C.                          | - 1-                                | 3/2001                     |
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| CERTIFICATE OF MAPPIlicant(s): VARMA, | MAILING BY FIRST CLAS<br>A.; TRYFONAS, C.   | SS MAIL (37 CFR 1.8)  | Docket No.<br>UC2000-138-2 |
|---------------------------------------|---|---|----------------------------|
| Serial No.<br>09/741,747              | Filing Date DECEMBER 19, 2000   | Examiner  | Group Art Unit             |
| METHOD FO                             | OR DETERMINING LOSS RAT   | E OR A LOSS CURVE OF A  | TRAFFIC SOURCE             |
|                                       | s <u>COMBINED DECLARATIO</u> th the United States Postal Server for Patents, Washington, D.C. | •   | •                          |
|                                       |   | JERRY V. K<br>(Typed or Printed Name of Person N<br>Jerry 1<br>(Signature of Person Mailing | Mailing Correspondence)    |

| CERTIFICATE OF I           | Docket No.<br>UC2000-138-2  |  |                         |  |  |  |  |
|----------------------------|---|--|-------------------------|--|--|--|--|
| Serial No.<br>09/741,747   | Filing Date DECEMBER 19, 2000   | Examiner   | Group Art Unit          |  |  |  |  |
| Invention: METHOD F        | Invention: METHOD FOR DETERMINING LOSS RATE OR A LOSS CURVE OF A TRAFFIC SOURCE |  |                         |  |  |  |  |
| I hereby certify that this | A SCHONNENTE (D. 11)  |  |                         |  |  |  |  |
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|                            | er for Patents, Washington, D.C.  |  | I 23, 2001              |  |  |  |  |
|                            |   | JERRY V.   |                         |  |  |  |  |
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|                            |   | (Signature of Person Mailin  | g Correspondence)       |  |  |  |  |
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|                                       |  |  | , and                      |
|---------------------------------------|--|--|----------------------------|
| CERTIFICATE OF I Applicant(s): VARMA, | MAILING BY FIRST CLAS<br>A.; TRYFONAS, C.  | SS MAIL (37 CFR 1.8)                                   | Docket No.<br>UC2000-138-2 |
| Serial No.<br>09/741,747              | Filing Date DECEMBER 19, 2000  | Examiner   | Group Art Unit             |
| 0 1 P K 3 5 2001 85                   | OR DETERMINING LOSS RAT  | E OR A LOSS CURVE OF A                                 | TRAFFIC SOURCE             |
|                                       | s POWER OF ATTORNEY BY the United States Postal Server for Patents, Washington, D.C. | . 1  | envelope addressed to: The |
|                                       |  | JERRY V. H<br>(Typed or Printed Name of Person Mailing | Mailing Correspondence)    |
|                                       | Note: Each paper must ha   | ve its own certificate of mailing.                     |                            |
|                                       |  |  |                            |

|                          | MAILING BY FIRST CLAS<br>A, A.; TRYFONAS, C.  | SS MAIL (37 CFR 1.8)  | Docket No.<br>UC2000-138-2 |
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| Serial No.<br>09/741,747 | Filing Date DECEMBER 19, 2000   | Examiner  | Group Art Unit             |
| 6 MM 5                   | FOR DETERMINING LOSS RAT  |   |                            |
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|                          | · ·   | (Identify type of correspondence) vice as first class mail in an enve | elope addressed to: Th     |
|                          | his PTO FORM 1449 (PAGE 1) with the United States Postal Servener for Patents, Washington, D.C. | vice as first class mail in an enve                                   | elope addressed to: Th     |

| Decket No. Applicant(s): VARMA, A.; TRYFONAS, C.  Serial No. Filing Date DECEMBER 19, 2000  METHOD FOR DETERMINING LOSS RATE OR A LOSS CURVE OF A TRAFFIC SOURCE  METHOD FOR DETERMINING LOSS RATE OR A LOSS CURVE OF A TRAFFIC SOURCE  Thereby certify that this COPIES OF CITATIONS LISTED ON PTO-1449 (11)  (Identify type of correspondence)  is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  JERRY V. KING  (Typed or Printed Name of Person Mailing Correspondence)  Significant (S): VARMA, A.; TRYFONAS, C.  UC2000-138-2  Because of Person Mailing Correspondence) |   |   |   |                       |
|---|---|---|---|-----------------------|
| DECEMBER 19, 2000  METHOD FOR DETERMINING LOSS RATE OR A LOSS CURVE OF A TRAFFIC SOURCE  I hereby certify that this COPIES OF CITATIONS LISTED ON PTO-1449 (11)  (Identify type of correspondence)  is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  JERRY V. KING  (Typed or Printed Name of Person Mailing Correspondence)  JERRY V. KING   | ERTIFICATE OF pplicant(s): VARMA,               | MAILING BY FIRST CLAS<br>A.; TRYFONAS, C. | SS MAIL (37 CFR 1.8)  |                       |
| I hereby certify that this COPIES OF CITATIONS LISTED ON PTO-1449 (11)  (Identify type of correspondence)  is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  JERRY V. KING  (Typed or Printed Name of Person Mailing Correspondence)  JERRY V. KING  |   | - ,                                       | Examiner  | Group Art Unit        |
| JERRY V. KING  (Typed or Printed Name of Person Mailing Correspondence)   | I hereby certify that this being deposited with | th the United States Postal Serv          | (Identify type of correspondence) ice as first class mail in an env             | •                     |
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